

APR 20 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

### Facsimile Transmission

To:                      Name:                      USPTO  
                            Company:  
                            Fax Number:              1 (571) 273-8300  
                            Voice Phone:

From:                   Name:  
                            Voice Phone:

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

#### Fax Notes:

---

---

Date and time of transmission: Friday, April 20, 2007 2:24:50 PM  
Number of pages including this cover sheet: 10

---



RECEIVED  
CENTRAL FAX CENTER  
APR 20 2007

April 19, 2007

Examiner Matthew D. Hoel  
Art Unit 3713  
USPTO  
Alexandria, VA 22313-1450  
fax 571 273 5961

9 pages total including cover page

Subject: Applicant Interview Request Form re application 10/043,071

Dear Examiner Hoel,

Attached please find an Applicant Initiated Interview Request Form for the above referenced application as associated exhibits. Please do NOT enter these into the record for said application.

The interview will be telephonic and is scheduled for Wednesday, April 25 from 2 pm to 3 pm Eastern Daylight Time.

Please call me at 203 975 7678 at that time.

I look forward to speaking to you and SPE Thai then.

Sincerely,

A handwritten signature in cursive script, appearing to read "Mark Nowotarski", written in dark ink.

Mark Nowotarski  
Reg. No. 47,828  
Agent of Record

The information contained in this communication is confidential and may be legally privileged. It is intended solely for the use of the individual or entity to whom it is addressed and others authorized to receive it. If you are not the intended recipient please immediately destroy all copies. You are also hereby notified that any disclosure, copying, distribution or taking any action in reliance on the contents of this information is strictly prohibited and may be unlawful. Markets, Patents & Alliances, LLC is neither liable for the contents, nor for the proper, complete and timely transmission of the information contained in this communication.

30 Glen Terrace, Stamford, CT 06906  
tel 203.975.7678 fax 203.973.0010  
mnowotarski@marketsandpatents.com

<b>Applicant Initiated Interview Request Form</b>				<b>RECEIVED</b>	
				<b>CENTRAL FAX CENTER</b>	
Application No.: 10/043071		First Named Applicant: Groz			
Examiner: Matthew D. Hoel		Art Unit: 3714		Status of Application: Pending	
<b>Tentative Participants:</b> (1) Mark Nowotarski (agent)                      (2)					
(3) Matthew D. Hoel (examiner)                      (4) Xuan Thai (SPE)					
Proposed Date of Interview: April 25, 2007				Proposed Time: 2:00 PM (AM/PM)	
<b>Type of Interview Requested:</b> (1) <input checked="" type="checkbox"/> Telephonic                      (2) <input type="checkbox"/> Personal                      (3) <input type="checkbox"/> Video Conference					
<b>Exhibit To Be Shown or Demonstrated:</b> <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO <b>If yes, provide brief description:</b> Additional evidence to be presented to support swear back of Silva					
Issues To Be Discussed					
Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
Confirm that additional exhibits to be submitted will support swear back of Silva			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
[x] Continuation Sheet Attached					
<b>Brief Description of Arguments to be Presented:</b> See Attached Sheet					
<b>NOTE:</b> This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01). This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.					
Applicant/Applicant's Representative Signature			Examiner/SPE Signature		
Typed/Printed Name of Applicant or Representative Mark Nowotarski					
Registration Number, if applicable 47,828					

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

<b>Applicant Initiated Interview Request Form (cont)</b>			<b>RECEIVED CENTRAL FAX CENTER</b>
Application No.: 10/043,071	First Named Applicant: Groz	<b>APR 20 2007</b>	
Examiner: Matthew D. Hoel	Art Unit: 3714	Status of Application: Pending	

**Items to be discussed.**

Applicant would like to confirm that the additional attached exhibits along with the supplemental declaration below by Applicant will be adequate to swear back of Silva. New exhibits D, E, F, G and H are attached.

**Proposed supplemental declaration by Applicant**

I, Marc Michael Groz, sole inventor in the above referenced application, declare as follows:

1. That sometime prior to December 7, 2000, I conceived of the invention claimed in the above referenced application.
2. That sometime prior to December 7, 2000, I disclosed said invention to Ms. Robbin Frances Juris as evidenced by exhibits A and D.
3. That sometime prior to December 7, 2000, I recorded a note in my personal notebook describing the essential features of the invention as evidenced by exhibits A, B and D.
4. That said note of exhibit B reads "LottaVest – Lottery w/ positive ER" as evidenced by exhibit D.
5. That the phrase "LottaVest – Lottery w/positive ER" means "Lottery Investment – Lottery with positive expected return" as evidenced by exhibits A and D.
6. That I worked diligently to reduce the invention to constructive practice from sometime prior to December 7, 2000 until January 8, 2001, at which time the invention was constructively reduced to practice by the filing of US provisional patent application serial number 60/260547 entitled "Enhanced Gaming System".
7. That any other lapses in diligence between December 7, 2000 and January 8, 2001 were due to the demands of earning a living. At that time I was the president and sole proprietor of The Quaternion Group, Inc. of NY, as evidenced by exhibits C and E.
8. That the demands of earning a living included soliciting new clients and providing consulting services in ecommerce, financial markets, and innovative applications of information technology as evidenced by exhibit F, G and H.
9. That from December 13, 2000 through December 21, 2000 I was on vacation as evidenced by exhibit F.